

This brochure supplement provides information about John Chan that supplements the Alamo Insurance & Wealth Management brochure. You should have received a copy of that brochure. Please contact John Chan if you did not receive Alamo Insurance & Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about John Chan is also available on the SEC's website at www.adviserinfo.sec.gov.

Alamo Insurance & Wealth Management

Form ADV Part 2B – Individual Disclosure Brochure

for

John Chan

Personal CRD Number: 6170101

Investment Adviser Representative

Alamo Insurance & Wealth Management
2101 Lockhill Selma Suite 2107 and 2109
San Antonio, TX 78213
(210) 569-7300
JChan@alamoiwm.com

UPDATED: 6/2/2016

Item 2: Educational Background and Business Experience

Name: John Chan **Born:** 1981

Educational Background and Professional Designations:

Education:

MBA Finance, University of Texas at San Antonio - 2011

BS Biochemistry, University of Texas at Austin - 2005

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

08/2014 - Present	Managing Member Alamo Insurance & Wealth Management
06/2011 - 10/2014	Vice President Jefferson and Franklin Financial
11/2009 - 06/2011	Financial Professional Financial Foundation Group
11/2006 - 11/2009	Laboratory Manager MedLab International
10/1999 - 11/2006	Cashier Golden Gate Chinese Restaurant

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

Item 4: Other Business Activities

John Chan is a licensed insurance agent and a consultant for real estate developers in Texas. From time to time, he will offer clients advice or products from these activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Alamo Insurance & Wealth Management always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Alamo Insurance & Wealth Management in their capacity as a licensed insurance agent.

John Chan spends 30 hours per week on those activities as a licensed insurance agent and considers them his primary business. John Chan spends 3 hours per week on activates as a consultant for real estate developers and does not consider them his primary business.

Item 5: Additional Compensation

John Chan does not receive any economic benefit from any person, company, or organization, other than Alamo Insurance & Wealth Management in exchange for providing clients advisory services through Alamo Insurance & Wealth Management.

Item 6: Supervision

John Chan is the owner and supervisor of Alamo Insurance & Wealth Management. John Chan adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. John Chan has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. John Chan has NOT been the subject of a bankruptcy petition in the past ten years.